

PTO/SB/08a (07-05)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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SMART

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

Sheet 1

of 1

**Complete if Known**

**Application Number** 09/935,426

**Filing Date** August 22, 2001

Art Unit 2621

**Examiner Name** Boccio, Vincent

## **Attorney Docket**

WILLIAMSON, ROBERT B. 1888-1965

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner Signature	/Robert Chevalier/	Date Considered	01/15/2008
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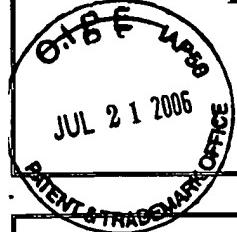
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.18 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **INFORMATION DISCLOSURE CITATION IN AN APPLICATION**

(PTO-1449)



**Attorney Docket No.:**  
**60097-0027**

**Application  
Number:**  
**09/935,426**

**First Named Inventor:**  
David Locket, et al.

**Filing Date:**  
August 22, 2001

**Group:**  
2616

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	/Robert Chevalier/	Date Considered	01/15/2008
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 60097-0027	Application Number: 09/935,426	
		<b>First Named Inventor:</b> David Locket, et al.		
		<b>Filing Date:</b> August 22, 2001		
<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published		Translation <sup>2</sup>
/RC/		MACWEEK NEWS article entitled, "QuickTime Ready for Prime Time", dated December 10, 1991 (2 pages, numbered TIVO-410797 & TIVO-410798).		
/RC/		HEWLETT PACKARD LABORATORIES Technical Report entitled "UNIX Disk Access Patterns", by Chris Ruemmler and John Wilkes, HPL-92-152, dated December 1992 (pgs. 405-420), © Hewlett-Packard Company 1992.		
/RC/		HARKER, J.M. et al, "A Quarter Century of Disk File Innovation", IBM J. Res. Develop., Vol. 25, No. 5, dated September 1981 (pages 677-690), © International Business Machines Corporation 1981.		
/RC/		WOODHAM, D.J., "HDTV Production: Today and Tomorrow; 'A Solid State Action Replay' Recorder", Symposium Record Broadcast Sessions, System Session P-2, dated June 17, 1989 (8 pages, numbered TIVO-414638 thru TIVO-414645).		
/RC/		HOSPODOR, ANDREW DAVID, "The Effect of Prefetch in SCSI Disk Drive Cache Buffers", Santa Clara University, Dept. of Computer Engineering, dated May 2, 1994 (121 pgs).		
/RC/		Official Action from JP for foreign patent application no. 2003-524253 dated October 19, 2005 (4 pgs) plus 4 pg translation – attached.		
/RC/		Current Claims in JP patent application no. 2003-524253 (3pgs) plus 4 pg. translation – attached.		

Examiner Signature	/Robert Chevalier/	Date Considered	01/15/2008
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**INFORMATION DISCLOSURE CITATION  
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**(PTO-1449)**



Attorney Docket No.:  
60097-0027

Application  
Number:  
09/935,426

First Named Inventor:  
David Locket, et al.

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August 22, 2001

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2616

**U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
/RC/		5,648,824		Dunn et al.	07-15-1997	
/RC/		6,400,407	B1	Zigmond et al.	06-04-2002	

**FOREIGN PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
/RC/		WO	92/22983	A2	Browne et al.	12-23-1992		

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>

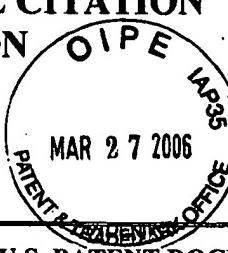
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**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION** **(PTO-1449)**



**ATTY. DOCKET NO.**  
60097-0027

**SERIAL NO.**  
09/935,426

**APPLICANT:**  
James M. Barton, et al.

**FILING DATE:**  
August 22, 2001

**GROUP:**  
2615

**U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			

**FOREIGN PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
/RC/		EPO	0817483A2		Kabushiki Kaisha Toshiba (JP)	98-07-01		
/RC/		EPO	0726574A2		Matsushita Electronic Industrial Co.	96-14-08		

**OTHER ART – NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
/RC/		Office Action from CN for foreign patent application no. 200410056388.3 dated 25 November 2005 (15 pgs) – attached.	
/RC/		Current Claims in CN patent application no. 200410056388.3 (9 pgs) – attached.	

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION (PTO-1449)			
		Attorney Docket No.: 60097-0027	Application Number: 09/935,426
		First Named Inventor: David Locket, et al.	
		Filing Date: August 22, 2001	Group: 2616

U.S. PATENT DOCUMENTS

Examiner Initial <sup>1</sup>	Serial No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
/RC/	3,682,363			Hull	08-08-1972	**
	3,942,190			Detweiler	03-02-1976	**
	4,141,039			Yamamoto	02-20-1979	**
	4,224,481			Russell	09-23-1980	**
	4,258,418			Heath	03-24-1981	**
	4,313,135			Cooper	01-26-1982	
	4,347,527			Lainez	08-31-1982	**
	4,388,659			Lemke	06-14-1983	**
	4,408,309			Kiesling et al.	10-04-1983	**
	4,423,480			Bauer et al.	12-27-1983	**
	4,439,785			Leonard	03-27-1984	**
	4,506,348			Miller et al.	03-19-1985	**
	4,506,358			Montgomery	03-19-1985	**
	4,602,297			Resse	07-22-1986	
	4,633,331			McGrady et al.	12-30-1986	**
	4,665,431			Cooper	05-12-1987	
	4,688,106			Keller et al.	08-18-1987	
	4,689,022			Peers et al.	08-25-1987	**
	4,706,121			Young	11-10-1987	**
	4,723,181			Hickok	02-02-1988	**
	4,752,834			Koombes	06-21-1988	
	4,755,889			Schwartz	07-05-1988	**
	4,760,442			Oconnell et al.	07-26-1988	
	4,761,684			Clark et al.	08-02-1988	
V	4,789,961			Tindall	12-06-1998	**
/RC/	4,805,217			Morihiro et al.	02-14-1989	**

\*\* Hard Copies of References submitted with IDS filed on 2/22/2006 for 09/827,029

Examiner Signature	/Robert Chevalier/	Date Considered	01/15/2008
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**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

**(PTO-1449)**

**Attorney Docket No.:**  
60097-0027

**Application  
Number:**  
09/935,426

**First Named Inventor:**  
David Locket, et al.

**Filing Date:**  
August 22, 2001

**Group:**  
2616

**U.S. PATENT DOCUMENTS**

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		Number	Kind Code <sup>2</sup> (If known)			
/RC/		4,816,905		Tweedy et al.	03-28-1989	
		4,821,121		Beaulier	04-11-1989	**
		4,833,710		Hirashima	05-23-1989	
		4,876,670		Nakabayashi et al.	10-24-1989	**
		4,891,715		Levy	01-02-1990	**
		4,897,867		Foster et al.	01-30-1990	
		4,920,533		Dufresne et al.	04-24-1990	
		4,924,387		Jeppesen et al.	05-08-1990	
		4,939,594		Moxon et al.	07-03-1990	**
		4,947,244		Fenwick et al.	08-07-1990	**
		4,949,169		Lumelsky et al.	08-14-1990	**
		4,949,187		Cohen	08-14-1990	**
		4,963,866		Duncan	10-16-1990	**
		4,963,995		Lang	10-16-1990	**
		4,972,396		Rafner	11-20-1990	**
		4,979,050		Westland et al.	12-18-1990	**
		4,991,033		Takeshita	02-05-1991	**
		5,001,568		Efron et al.	03-19-1991	**
		5,014,125		Pocock et al	05-04-1991	
		5,018,186		Kimura et al.	05-21-1991	**
		5,019,900		Clark et al.	05-28-1991	
		5,021,893		Scheffler	06-04-1991	**
		5,027,241		Hatch et al.	06-25-1991	**
		5,027,400		Baji et al.	06-25-1991	
		5,047,857		Duffield et al.	09-10-1991	**
		5,057,932		Lang	10-15-1991	**
		5,063,453		Yoshimura et al.	11-05-1991	**
		5,089,885		Clark et al.	02-18-1992	
		5,093,718		Hoarty et al.	03-03-1992	**
		5,109,281		Koberi et al.	04-28-1992	
		5,118,105		Brim et al.	06-02-1992	
/RC/						

\*\* Hard Copies of References submitted with IDS filed on 2/22/2006 for 09/827,029

Examiner Signature	/Robert Chevalier/	Date Considered	01/15/2008
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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 60097-0027	Application Number: 09/935,426	
		First Named Inventor: David Locket, et al.		
		Filing Date: August 22, 2001		
/RC/	5,126,852	Nishino et al.	06-30-1992	**
	5,126,982	Yifrach	06-30-1992	**
	5,130,792	Tindell et al.	07-14-1992	
	5,132,992	Yurt et al.	07-21-1992	
	5,134,499	Sata et al.	07-28-1992	**
	5,142,532	Adams	08-25-1992	
	5,153,726	Billing	10-06-1992	
	5,168,353	Walker et al.	12-01-1992	
	5,172,413	Bradley et al.	12-15-1992	
	5,202,761	Cooper	04-13-1993	
	5,208,665	McCalley et al.	05-04-1993	
	5,214,768	Martin et al.	05-25-1993	
	5,226,141	Esbensen	07-06-1993	**
	5,233,423	Jernigan et al.	08-03-1993	**
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	5,237,648	Mills et al.	08-17-1993	**
	5,241,428	Goldwasser et al.	08-31-1993	
	5,245,430	Nishimura	09-14-1993	
	5,247,347	Litteral et al.	09-21-1993	
	5,251,009	Bruno	10-05-1993	
	5,253,275	Yurt et al.	10-12-1993	
	5,283,659	Akiyama et al.	02-01-1994	
	5,285,272	Bradley et al.	02-08-1994	**
	5,287,182	Haskall	02-15-1994	
	5,311,423	Clark	05-10-1994	**
	5,317,603	Osterweil	05-31-1994	**
	5,317,604	Osterweil	05-31-1994	**
	5,329,320	Yifrach	07-12-1994	
	5,357,276	Banker et al.	10-18-1994	
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	5,371,551	Logan et al.	12-06-1994	
	5,412,416	Nemirofsky	05-02-1995	**
	5,414,455	Hooper et al.	05-09-1995	
	5,428,731	Powers	06-27-1995	**
	5,438,423	Lynch et al.	08-01-1995	
	5,440,334	Walters et al.	08-08-1995	**
	5,442,390	Hooper et al.	08-15-1995	
/RC/	5,477,263	O'Callaghan et al.	12-19-1995	**

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**INFORMATION DISCLOSURE CITATION  
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(PTO-1449)**

Attorney Docket No.:  
60097-0027

Application  
Number:  
09/935,426

First Named Inventor:  
David Locket, et al.

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		Number	Kind Code <sup>2</sup> (If known)			
/RC/		5,481,542		Logston et al.	01-02-1996	**
		5,488,409		Yuen et al.	01-30-1996	
		5,506,615		Awaji	04-09-1996	**
		5,508,940		Rossmere et al.	04-16-1996	**
		5,513,011		Matsumoto et al.	04-30-1996	
		5,513,306		Mills et al.	04-30-1996	**
		5,519,684		Iizuka et al.	05-21-1996	**
		5,528,281		Grady et al.	06-18-1996	**
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		5,550,982		Long et al.	08-27-1996	
		5,555,463		Staron	12-10-1996	**
		5,559,999		Maturi et al.	09-24-1996	
		5,572,261		Cooper	11-06-1996	
		5,751,371		Shintani	11-19-1996	**
		5,577,190		Peters	11-19-1996	
		5,581,479		McLaughlin et al.	12-03-1996	**
		5,583,561		Baker et al.	08-10-1996	**
		5,586,264		Belknap et al.	12-17-1996	**
		5,604,544		Bertram	02-18-1997	**
		5,612,749		Bacher et al	03-18-1997	
		5,614,940		Cobbley et al	03-25-1997	
		5,615,401		Harscoet et al.	03-25-1997	**
		5,619,247		Russo	04-08-1997	**
		5,625,464		Compoint et al.	04-29-1997	**
		5,629,732		Moskowitz et al.	05-13-1997	**
		5,635,984		Lee	06-03-1997	
		5,659,539		Porter et al.	08-19-1997	
		5,675,388		Cooper	10-07-1997	
		5,696,866		Iggulden et al.	12-09-1997	**
		5,696,868		Kim et al.	12-09-1997	**
		5,701,383		Russo et al.	12-23-1997	
▼		5,706,388		Isaka	01-06-1998	
/RC/		5,715,356		Hirayama et al.	02-03-1998	**

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)			Attorney Docket No.: 60097-0027	Application Number: 09/935,426		
			First Named Inventor: David Locket, et al.			
			Filing Date: August 22, 2001			
U.S. PATENT DOCUMENTS						
Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (If known)			
/RC/		5,719,982		Kawamura et al.	02-17-1998	**
		5,721,815		Ottesen et al.	02-24-1998	**
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		5,870,553		Shaw et al.	02-09-1999	
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/RC/		5,973,679		Abbott et al.	10-26-1999	

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		First Named Inventor: David Locket, et al.	
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		Number	Kind Code <sup>2</sup> (If known)			
/RC/		5,991,496		Kojitma	11-23-1999	**
		5,995,709		Tsuge	11-30-1999	
		5,999,691		Takagi et al.	12-07-1999	
		6,002,832		Yoneda	12-14-1999	
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			<b>First Named Inventor:</b> David Locket, et al.	
			<b>Filing Date:</b> August 22, 2001	
/RC/		6,304,714	B1	Krause et al.
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/RC/		Re. 33,535		Cooper

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				
/RC/		WO	2000/76130	A1	Thomason Multimedia	05-31-2000	**	
/RC/		EP	0594241	A1	Philips Electronics N.V.	10-12-1993	**	
/RC/		EP	0594241	B1	Koninklijke Philips Electronics N.V.	04-17-1994	**	
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/RC/		WO	91/03112	A1	Delta Beta Pty Ltd.	08-23-1990	**	
/RC/		WO	98/56188	A2	Sony Electronics Inc.	12-10-1998		
/RC/		EP	0785675	A2	Kabushiki Kaisha Toshiba	07-23-1997		
/RC/		EP	0726574	A2	Matsushita Electrical Industrial	08-14-1996		
/RC/		EP	0817483	A2	Kabushiki Kaisha Toshiba	01-07-1998		
/RC/								

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		First Named Inventor: David Locket, et al.	
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#### OTHER ART – NO PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
/RC/		Inside MacIntosh "QuickTime", Apple Technology Library by Apple Computer, Inc., © 1993 (published by Addison-Wesley Publishing Company) 719 pgs.	**
/RC/		Inside MacIntosh "Files", Apple Technology Library by Apple Computer, Inc., © 1992 (published by Addison-Wesley Publishing Company) 532 pgs.	**
/RC/		Inside MacIntosh "Memory", Apple Technology Library by Apple Computer, Inc., © 1992 (published by Addison-Wesley Publishing Company) 303 pgs.	**
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/RC/		Inside MacIntosh "Overview", Apple Technology Library by Apple Computer, Inc., © 1992 (published by Addison-Wesley Publishing Company) 251 pgs.	**
/RC/		Quantum Q500 Series High Capacity 5 1/4" Fixed Disk Drive, Quantum Corporation, © 1983 (2 pgs)	**
/RC/		Quantum 2000 Series Low-Cost 8' Fixed Disk Drives, "New DC Motor Option", Quantum Corporation (2 pgs)	**
/RC/		Quantum Q2080 Low-Cost, 85 Megabyte Fixed Disk Drive, "85 Mb capacity/40ms average access time", Quantum Corporation, © 1982 (2 pgs)	**
/RC/		OEM Interface Specifications for DSAA-3xxx, "3.5-Inch Hard Disk Drive with ATA Interface, IBM Corporation, © 1994 (65 pgs).	**
/RC/		International Standard ISO/IEC 11172-2:1993(E), (Part 2: Video), Downloaded 6/15/05 (136 pgs).	**
/RC/		International Standard ISO/IEC 11171-3:1993/Cor.1:1996(E), (Part 3: Audio), Downloaded 6/15/05 (159 pgs).	**
/RC/		Hewlett Packard® MPEGscope User's Guide, Hewlett Packard Company © 1997-2000 (282 pgs).	**
/RC/		DiviCom, MP100 User Guide, DiviCom, Inc., © 1996 (97 pgs).	**

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/RC/		Hewlett Packard® MPEGscope Startup Guide, Hewlett Packard Company © 1997-2000 (39 pgs).	**
/RC/		MediaStream by Media4, "Desktop Satellite Multimedia", "The MediaStream Receiver Card", "MediaStream Uplink System", by Media4, Inc. (2 pgs).	**
/RC/		Jim Stratigos et al., Media4 Press Release "Announces Reseller Agreement with AlphaStar Television Networks", Microsoft® and Windows® 95 (3 pgs).	**
/RC/		Jim Stratigos et al., Media4 Press Release "Announces Multimedia Satellite Network for Personal Computers", Microsoft® and Windows® 95 (3 pgs).	**
/RC/		Media Stream, "Satellite Receiver" Installation and Users Guide for Windows 95, Media4, Inc., © 1996 (33 pgs).	**
/RC/		International Standard ISO/IEC 13818-1:2000(E) "Information Technology – Generic Coding of Moving Pictures and Associated Audio Information: Systems", © ISO/IEC 2000, Downloaded 6/30/05 (173 pgs).	**
/RC/		International Standard ISO/IEC 13818-1:2000/Amd.2:2004(E) "Information Technology – Generic Coding of Moving Pictures and Associated Audio Information: Systems", Amendment 2: Support of IPMP on MPEG-2 Systems, © ISO/IEC 2004, Downloaded 6/30/05 (13 pgs).	**
/RC/		International Standard ISO/IEC 13818-2:2000(E) "Information Technology – Generic Coding of Moving Pictures and Associated Audio Information: Video", © ISO/IEC 2000, Downloaded 6/30/05 (219 pgs).	**
/RC/		International Standard ISO/IEC 13818-3:1998(E) "Information Technology – Generic Coding of Moving Pictures and Associated Audio Information: Audio", © ISO/IEC 1998 (125 pgs).	**
/RC/		Guide to VAX/VMS File Applications,, Software Version VAX/VMS Version 4.0, September 1984 (19 pgs).	**
/RC/		Harrick M. Vin, et al., <i>Designing A Multiuser HDTV Storage Server</i> , IEEE Journal, Vol. 11, No. 1, January 1993 (pps. 153-164).	**
/RC/		Quantum Fireball 640/1280S Product Manual, Quantum®, Copyright © 1995 by Quantum Corporation (190 pgs).	**

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/RC/		Winston Hodge, et al., "Chapter 7, True Video on Demand vs. Near Video on Demand", delivered at National Cable Television Conference, May 24, 1994 (pps. 103-120).	**
/RC/		Cyril U. Orji, et al., "Design and Configuration Rationales for Digital Video Storage and Delivery Systems", Multimedia Tools and Applications, 9, 275-302 (1992), © 1992 Kluwer Academic Publishers, Boston (pps. 275-302).	**
/RC/		SCSI Specification, 0663 and 0663 Enhanced Disk Drive, Release 4.0, (247 pgs).	**
/RC/		R. Johnston, et al., "A Digital Television Sequence Store", IEEE, (pps. 594-600) © 1978.	**
/RC/		M. Hausdorfer, "Symposium Record Broadcast Sessions", HDTV Production: Today and Tomorrow, June 17, 1989, (7 pgs).	**
/RC/		S. Berson, "Computer Science Department Technical Report", Staggered Striping in Multimedia Information System, December 1993, April 29, 1994, (24 pgs).	**
/RC/		S. Berson, et al., "Design of a Scalable Multimedia Storage Manager", (pps. 1-30).	**
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/RC/		Hugh M. Sierra, "An Introduction to Direct Access Storage Devices", © 1990 by Academic Press, Inc., (269 pgs).	**
/RC/		I. Freedman, et al., "Systems Aspects of COBE Science Data Compression", Cosmology Data Analysis Center, (pps. 85-97).	**
/RC/		Douglas T. Anderson, "The Hard Disk Technical Guide", Tenth Revision S-D., February 1994, © 1990, 1991, 1992, 1993, 1994 by Micro House International Inc., (70 pgs).	**

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/RC/		Official Action from CN for foreign patent application no. 02816471.1 dated 21 October 2005 (5 pgs) – attached.	**
/RC/		Current Claims in CN patent application no. 02816471.7 (10 pgs) – attached.	**
/RC/		International Standard ISO/IEC 11172 (MPEG-1 Standard, Part 1: Systems)	
/RC/		K. Shen et al., <i>A Fast Algorithm for Video Parsing Using MPEG Compressed Sequences</i> , IEEE, pp. 252-255 (0-8185-7310-9/626/1995).	
/RC/		S. Smollar et al., <i>Content-based Video Indexing and Retrieval</i> , IEEE, Summer 1994, pp. 62-72.	
/RC/		J. Meng et al., <i>CVEPS-A Compressed Video Editing and Parsing System</i> , ACM Multimedia '96, Boston MA, pp. 43-53 (ACM 0-89791-671-1/96/1).	

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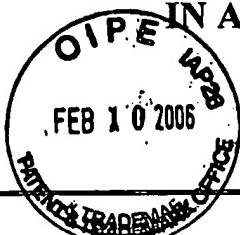
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<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**  
**(PTO-1449)**



**ATTY. DOCKET NO.**  
60097-0027

**SERIAL NO.**  
09/935,426

**APPLICANT:**  
James M. Barton, et al.

**FILING DATE:**  
August 22, 2001

**GROUP:**  
2615

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (If known)				

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/RC/		Official Action from EPO for foreign patent application no. 99 909 867.6-2202 dated 27 December 2005 (5 pgs) – attached.	
/RC/		Current Claims in EPO patent application no. 99 909 867.6-2202 (9 pgs) – attached.	

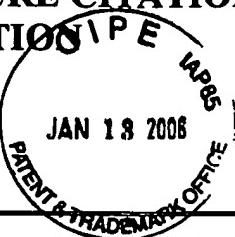
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**(PTO-1449)**



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60097-0027

SERIAL NO.  
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APPLICANT:  
James M. Barton, et al.

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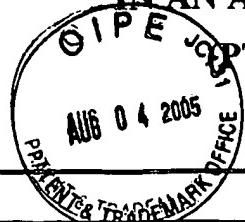
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**APPLICANT:**  
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**U.S. PATENT DOCUMENTS**

Exam. Initial*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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**FOREIGN PATENT DOCUMENTS**

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/RC/		U.S. Published Application No. 2005-0132418-A1, published on 6/16/2005.	

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